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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**
(Only for new nonprovisional applications  
under 37 CFR 1.53(b))*Title of Invention*Lateral Transistor Having Graded Base Region,  
Semiconductor Integrated Circuit And  
Fabrication Method Thereof*Named Inventor(s)*

Makoto Yamamoto; Akio Iwabuchi

*Attorney Docket*

44471-265522 (13700)

*Express Mail Label No.*

EL910717824US

U.S. PTO

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10/26/01

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>		
2. <input type="checkbox"/> Applicant claims Small Entity status		
3. <input checked="" type="checkbox"/> Specification, Claims, and Abstract	Total Pages <u>23</u>	
4. <input checked="" type="checkbox"/> Drawings	Total Sheets <u>9</u>	
	Total Pages <u>32</u>	
5. Oath or Declaration		
a. <input checked="" type="checkbox"/> Newly executed (original or copy)		
b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i>		
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		
(i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		
6. <input type="checkbox"/> Microfiche Computer Program (Appendix)		
7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
a. <input type="checkbox"/> Computer Readable Copy		
b. <input type="checkbox"/> Paper Copy <i>(identical to computer copy)</i>		
c. <input type="checkbox"/> Statement verifying identity of above copies		
8. <input checked="" type="checkbox"/> Assignment:		
a. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		
b. <input type="checkbox"/> Assignment is of record in parent application No. _____		
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>		
<input type="checkbox"/> Power of Attorney by assignee		
10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
11. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449		
<input type="checkbox"/> Copies of IDS Citations		
12. <input type="checkbox"/> Preliminary Amendment		
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) Japanese Patent Application No. P2001-128187		
15. <input type="checkbox"/> Other: _____		
16. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No: _____		
Recite complete dependency back to first parent application:		

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

23370

(Insert Customer Number/Bar Code Label here)

Name (Print/Type)	Roger T. Frost	Registration No. (Attorney/Agent)	22,176
Telephone	(404)815-6500		
Signature		Date	October 26, 2001

**FEE TRANSMITTAL**

Attorney Docket No. 44471-265522 (13700)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Makoto Yamamoto, et al.**

Filing Date: **October 26, 2001**

Title: **Lateral Transistor Having Graded Base Region, Semiconductor Integrated Circuit And Fabrication Method Thereof**

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY	LARGE ENTITY
FEE	FEE PAID	FEE
\$	\$	\$
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370	\$740
<input type="checkbox"/> DESIGN FILING FEE	\$165	\$330
<input type="checkbox"/> PLANT FILING FEE	\$255	\$510
<input type="checkbox"/> REISSUE FILING FEE	\$370	\$740
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80	\$160
<b>SUBTOTAL (1)</b>	<b>\$</b>	<b>\$740</b>

**2. CLAIMS:**

FOR:		SMALL ENTITY	LARGE ENTITY
NO. FILED	NO. EXTRA	RATE	FEE
x	=	x	\$
TOTAL CLAIMS	19 - 20 =	0	\$0
INDEP. CLAIMS	3 - 3 =	0	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		x 42 =	+280 =
		+140 =	
		<b>SUBTOTAL (2)</b>	<b>\$</b>
			<b>\$0</b>

**3. ADDITIONAL FEES:**

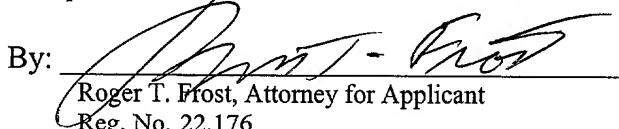
FOR:		SMALL ENTITY	LARGE ENTITY
FEE	FEE PAID	FEE	FEE PAID
\$	\$	\$	\$
<input checked="" type="checkbox"/> LATE FILING, FEE OR OATH	\$65	\$	\$130
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130	\$	\$130
<input type="checkbox"/> OTHER			
		<b>SUBTOTAL (3)</b>	<b>\$</b>
			<b>\$0</b>

**TOTAL FILING FEES: \$740**

A check is enclosed for the total amount: **\$740**

Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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By:   
Roger T. Frost, Attorney for Applicant  
Reg. No. 22,176

Date: October 26, 2001